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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

*(use as many sheets as necessary)*

Sheet

1

of

1

**Complete if Known**

Application Number

**09/932.579**

**Filing Date**

8/17/2001

**First Named Inventor**

Chen

## Group Art Unit

2167

**Examiner Name**

Wong, L.

Attorney Docket Number

103.1073.01

## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

**Examiner  
Signature**

/Jean Corrielus/

Date  
Considered

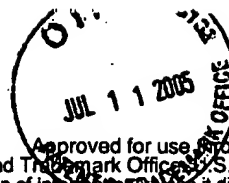
01/30/2007

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Sheet 1 of 1

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Application Number	09/932,579
Filing Date	8/17/2001
First Named Inventor	Chen
Group Art Unit	2167
Examiner Name	Wong, L.
Attorney Docket Number	103.1073.01

**OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
JC	1	HUTCHINSON, NORMAN ET AL. "Logical vs. Physical File System Backup." Third Symposium on Operating Systems Design and Implementation, February 22-25, 1999, pp. 239-249, New Orleans, LA, USA.	
JC	2	GRAY, JIM AND ANDREAS REUTER. "Transaction Processing: Concepts and Techniques." 1993, pp. 724 – 732, Morgan Kaufmann, San Francisco.	

Examiner Signature	/Jean Corrielus/	Date Considered	01/30/2007
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<b>Application Number</b>	09/932,579
<b>Filing Date</b>	8/17/2001
<b>First Named Inventor</b>	Chen
<b>Group Art Unit</b>	2177
<b>Examiner Name</b>	Wong, L.
<b>Attorney Docket Number</b>	103.1073.01

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
JC	1	5,317,728	A	Tevis et al.	05-31-1994	
	2	5,403,639	A	Belsan et al.	04-04-1995	
	3	5,557,747	A	Rogers et al.	09-17-1996	
	4	5,832,522	A	Blickenstaff et al.	11-03-1998	
	5	5,946,685	A	Cramer et al.	08-31-1999	
	6	5,991,753	A	Wilde	11-23-1999	
	7	5,996,054	A	Ledain et al.	11-30-1999	
	8	6,101,508	A	Wolff	08-08-2000	
	9	6,112,211	A	Bradshaw et al.	08-29-2000	
	10	6,173,293	B1	Chandramohan et al.	01-09-2001	
	11	6,247,024	B1	Kincaid	06-12-2001	
	12	6,249,792	B1	Zwilling et al.	06-19-2001	
	13	6,289,356	B1	Hitz et al.	09-11-2001	
	14	6,353,878	B1	Dunham	03-05-2002	
	15	6,529,921	B1	Berkowitz et al.	03-04-2003	
	16	6,751,635	B1	Chen et al.	06-15-2004	
	17	2002/0089508	A1	Sowizral et al.	07-11-2002	
	18	2005/0033775	A1	Chen et al.	02-10-2005	

## FOREIGN PATENT DOCUMENTS

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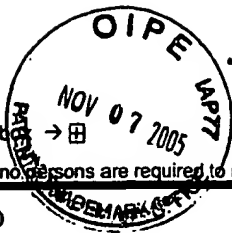
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JC	1	CHUNG ET AL. "Checkpointing in CosMiC: a User-level Process Migration Environment." IEEE, 1997, pp. 187-193.	
JC	2	ELNOHAZY ET AL. "A Survey of Rollback-Recovery Protocols in Message-Passing Systems." ACM Computing Surveys, September 2002, pp. 375-408, vol. 34, no. 3.	
JC	3	OTT ET AL. "SRED: Stabilized RED." Proceedings of INFOCOM, 1999, pp. 1-10, Bellcore and Bell Laboratory.	
JC	4	RODRIGUEZ ET AL. "A New Checkpoint Mechanism for Real Time Operating Systems." ACM SIGOPS Operating Systems Review, October 1997, pp. 55-62, vol. 31, iss. 4, ACM Press, New York, NY.	

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